# **Role of fission in the r-process nucleosynthesis – Needed input**

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**Abstract.** In order to quantitatively understand the fission role in the r process, two important pieces of information are needed: The fission probabilities and mass- and charge-distributions of the fission fragments. Unfortunately, experimental information is available only for nuclei in a limited region of the nuclide chart, and for heavy r-process nuclei one has to rely on theoretical predictions. This manuscript reviews the status of present experimental and theoretical knowledge on some aspects of the fission process which are important input for the r-process calculations.

#### **1. Introduction**

In order to have a full understanding of the r-process nucleosynthesis it is necessary to have proper knowledge on the fission process. In the r process, fission can have an important influence on the abundances of long-lived actinides, which are relevant for determination of the age of the Galaxy and the Universe [1]. In scenarios where high neutron densities exist over long periods, fission will influence the abundances of nuclei in the region  $A \sim 90$  and  $\sim 130$  due to the fission cycling [2,3]. In similar scenarios, fission can also have decisive influence on the termination of the r-process and production of super-heavy elements [4].

Studies on the role of fission in the r process began forty years ago [2]. Meanwhile, extensive investigations on beta-delayed, neutron- and neutrino-induced fission have been performed; see e.g. [3,5,6,7,8,9,10]. One of the common conclusions from all this work is that the influence of fission on the r process is very sensitive to the fission-barrier heights of heavy r-process nuclei with A > 190 and Z > 84, since they determine the calculated fission probabilities of these nuclei. Moreover, information on mass- and charge-distributions of fragments formed in the fission of these heavy r-process nuclei is essential for calculations of r-process abundances.

In this contribution, we will concentrate on the status of experimental and theoretical knowledge on the fission process which is needed as input for r-process calculations. We will discuss in details the heights of fission barriers and the fragment formation in fission. Firstly, using available experimental data on saddle-point and ground-state masses, we will present a detailed study on the predictions of different models concerning the isospin dependence of saddle-point masses [11]. Secondly, we will present a model for calculating mass- and charge-distributions of fission fragments that can correctly predict the transition from double-humped to singlehumped distributions with decreasing mass of the fissionning system and increasing excitation energy in the light actinides. Detailed r-process network calculations with fission included are discussed in the contribution by G. Martinez-Pinedo *et al.* to this Proceedings.

#### 2. Fission barriers

One of the most important ingredients for calculating fission probabilities is the height of the fission barrier. Unfortunately, experimental information on fission-barrier heights is only available for nuclei in a limited region of the nuclide chart, as shown in Figure 1. Therefore, for heavy r-process nuclei one has to rely on theoretically calculated barriers. Due to the limited number of available experimental barriers, in any theoretical model, constraints on the parameters defining the dependence of the fission barrier on neutron excess are rather weak.

This leads to large uncertainties in estimating the heights of the fission barriers of heavy nuclei involved in the r process. For example, it was shown in Ref. [6] that predictions on the betadelayed fission probabilities for nuclei in the region  $A \sim 250 - 290$  and  $Z \sim 92 - 98$  can vary between 0% and 100 % depending on the model used, thus strongly influencing the r-process termination point. Moreover, the uncertainties within the nuclear models used to calculate the fission barriers can also have important consequences on the r process. Meyer *et al.* have shown that a change of 1 MeV in the fission-barrier height can have strong influence on the production of the progenitors ( $A \sim 250$ ) of the actinide cosmochronometers, and thus on the nuclear cosmochronological age of the Galaxy [12].



**Figure 1.** (Colour online) Available data (open dots) on fission barriers for  $Z \ge 80$  taken from the RIPL-2 library [13] and shown on the nuclear chart. Black squares represent the stabile nuclei, and the grey marked region a possible r-process path.

Recently, important progress has been made, see e.g. [14] in developing full microscopic approaches to nuclear fission. Nevertheless, due to the complexity of the problem, this type of calculations is difficult to apply to heavy nuclei. Therefore, often used models are of the macroscopic-microscopic type, where the smooth trends in the potential-energy landscape of the fissioning system are described by a macroscopic model based on some liquid-drop or droplet picture, while local fluctuations are calculated separately within a microscopic model using the Strutinsky method [15]. Due to the separation between macroscopic and microscopic properties of the system, this approach is very well adapted for the global description of different properties of the system not only in nuclear physics [16] but also in other fields, see e.g. [17]. The free parameters of these models are fixed using the nuclear ground-state properties and, in some cases, the height of fission barriers when available. Some examples of such calculations are shown in Figure 2 (upper part), where the fission-barrier heights given by the results of the Howard-Möller fission-barrier calculations [18,19], the finite-range liquid drop model (FRLDM) [20], the Thomas-Fermi model (TF) [21], and the extended Thomas-Fermi model with Strutinsky integral (ETFSI) [22] are plotted as a function of the mass number for several uranium isotopes (A = 200-305). In case of the FRLDM and the TF model, the calculated ground-state shell corrections of Ref. [23] were added as done in Ref. [24]. In cases where the fission barriers were experimentally determined, the experimental values are also shown. From the figure it is clear that as soon as one enters the experimentally unexplored region there is a severe divergence between the predictions of different models. Of course, these differences can be caused by both – macroscopic and microscopic – parts of the models, but in the present work we will discuss only macroscopic models. For this, we have two reasons: Firstly, different models show large discrepancies in the isotopic trend of macroscopic fission barriers as can be seen in the lower part of Figure 2. Secondly, we want to avoid uncertainties and difficulties in calculating the shell corrections at large deformations corresponding to saddle-point configurations.

Recently, we have performed a study on the behaviour of the macroscopic contribution to the fission barriers when extrapolating to very neutron-rich nuclei [11]. This study was based on the approach of Dahlinger et al. [25], where the predictions of the theoretical models were

examined by means of a detailed analysis of the isotopic trends of ground-state and saddle-point masses.



**Figure 2.** (Colour online) Full macroscopic-microscopic (upper part) and macroscopic part (lower part) of the fission barrier calculated for different uranium isotopes using: the extended Thomas-Fermi model + Strutinsky integral [22] (dotted black line), the Thomas-Fermi model [21] (full green line), the finite-range liquid-drop model [20] (dashed red line), and the Howard-Möller tables [18] (full blue line). In case of FRLDM and TF the ground-state shell corrections were taken from Ref. [23]. The small inset in the upper left part represents a zoom of the region where experimental data are available.

In order to test the consistency of these models, we study the difference between the experimental saddle-point mass  $M_{sadd}^{exp} = B_f^{exp} + M_{GS}^{exp}$  and the macroscopic part of the saddle-point mass  $M_{sadd}^{macro} = B_f^{macro} + M_{GS}^{macro}$  given by the above-mentioned models, with  $B_f$  being the height of the fission barrier and  $M_{GS}$  the ground-state mass:

$$\delta U_{sadd} = M_{sadd}^{\exp} - M_{sadd}^{macro} = \left(B_f^{\exp} + M_{GS}^{\exp}\right) - \left(B_f^{macro} + M_{GS}^{macro}\right) \tag{1}$$

The difference between experimental and macroscopic mass,  $\delta U_{sadd}$  as given by Eq. 1 should correspond to the empirical shell-correction energy.

What do we know about shell-correction energy at the saddle-point deformation?

It is well known that the shell-correction energy oscillates with deformation and neutron or proton number. If we consider deformations corresponding to the saddle-point configuration, then the oscillations in the microscopic corrections for heavy-nuclei region we are interested in have a period between about  $10 \sim 30$  neutrons depending on the single-particle potential used, see e.g. [26,27,28,29]. This means that, if we follow the isotopic trend of the shell-correction energy at the saddle point over a large enough region of neutron numbers, this quantity should show only local variations with the above given periodicity. Moreover, as the shell-correction

energy at the saddle point is very small – below 1 - 2 MeV [21,24,30], these local variations should also be very small. In other words, the saddle-point shell-correction energy as a function of neutron number should show only local, periodical, variations with small amplitude; there should be no global tendencies, e.g. increase or decrease with neutron number.

We have used this fact in Ref. [11] to test the macroscopic part of the different, above mentioned, models. Using experimental ground-state masses [31] and experimental fission barriers and different macroscopic models, we have calculated the quantity  $\delta U_{sadd}$  as given by Eq. 1 for a wide range of neutron numbers. If a model describes realistically the isotopic trend, the quantity  $\delta U_{sadd}$  will correspond to the shell-correction energy at the saddle point and will fulfil the above-mentioned condition, i.e. the slope of  $\delta U_{sadd}$  as a function of neutron number will be close to zero ( $\partial (\partial U_{sadd}) / \partial N \approx 0$ ). On the contrary, if a model does not describe realistically the isotopic trend, then the quantity  $\delta U_{sadd}$  as a function of neutron number will show global tendencies, like e.g. increase or decrease over a large range of neutron numbers ( $\partial (\partial U_{sadd}) / \partial N \neq 0$ ).

For four studied models the slopes  $(A_1 = \partial (\partial U_{sadd}) / \partial N)$  of  $\partial U_{sadd}$  as a function of neutron number are shown in Figure 3 versus atomic number. For more details, see [11].



**Figure 3.** Slopes of  $\delta U_{sadd}$  as a function of the neutron excess are shown as a function of the nuclear charge number Z obtained for the Droplet model [19] (points), the Thomas-Fermi model [21] (triangles), FRLDM [20] (squares) and the extended Thomas-Fermi model [22] (rhomboids). The full lines indicate the average values of the slopes. The average values are also given in the figure. Error bars originate from the experimental uncertainties in the fission-barrier heights. Dashed lines are drawn to guide the eye. For more details, see [11].

We can see from Figure 3 that the Thomas-Fermi and the Finite-range liquid drop model predict slopes which are very close to zero, while the Droplet and the Extended Thomas-Fermi model result in the slope values which are not consistent with zero.

The results of this study (see also [11]) show that the most realistic predictions are expected from the Thomas-Fermi model [21]. A similar conclusion can be made for the Finite-range liquid-drop model [20] while further improvements in the saddle-point mass predictions of the Droplet model [19] and the Extended Thomas-Fermi model [22] seem to be needed.

#### 3. Mass and nuclear-charge division in fission

For understanding the role of fission in r-process nucleosynthesis, apart from fission probabilities one also needs masses and atomic numbers of fragments created in fission of heavy progenitors. For example, Qian has recently proposed that the observed structures at  $A \sim 90$  and  $A \sim 130$  in the r-process abundances in low-metallicity, old galactic halo stars can have their origin in the fission of heavy progenitors  $A_{prog} \sim 190 - 320$  [32]. In order to test this and

other similar ideas (see e.g [2,3,4,7]) it is needed to determine the mass and charge distributions of the fission fragments formed during the r process.

What is usually assumed in the astrophysical calculations is that either both fission fragments have the same mass and the same atomic number, or that one fragment corresponds to the double-magic <sup>132</sup>Sn and the second fragment has  $A = A_{prog} - 132$  and  $Z = Z_{prog} - 50$ . Both these assumptions are rather simplistic, and not always supported by the experimental data. This can be clearly seen from Figure 4.



**Figure 4.** (Colour online) Available experimental data on mass or charge distributions in lowenergy fission – green crosses: Z-distributions of fission fragments formed in fission after electro-magnetic excitation [33], blue circles: mass distributions from particle-induced fission. For more details, see Ref. [33]. For several compound nuclei mass or charge distributions of fission fragments are shown in small insets.

For the lightest nuclei shown in Figure 4, the distributions of fission fragments are symmetric. With increasing the mass of the fissionning system we observe a transition to double- and triple-humped distributions, and for the heaviest systems the distributions become again symmetric, but with much smaller widths as compared to the lightest systems around astatine. If one looks at fission-fragment distributions for a given isotopic chain, for nuclei in the actinide region one can see smooth transition from double-, to triple- and then to single-humped distributions for the lightest fissionning systems in the isotopic chain. On the contrary, in case of fermium we see a very abrupt transition from single- to double-humped distribution when going from  $^{258}$ Fm to  $^{256}$ Fm.

Most model descriptions of the fission process follow one of the following approaches: Either the measured observables – mass, element and kinetic energy distributions – are fitted by suitable mathematical functions with empirically determined parameters or the evolution of the fissioning system is described with a purely theoretical model. Following the first approach [34,35], one is able to reproduce existing data very well. However, the predictive power of phenomenological models is rather low due to the lack of the essential physics.

The second approach is very challenging: Due to the complexity of the problem, any theoretical model has to introduce a certain level of simplifications. In addition, one has to face the difficulty that the theoretical models are able to predict the relevant properties of a nuclear system only with a limited accuracy. This is obvious for the potential-energy surface in deformation space. Even in the nuclear ground-state configuration, where the single-particle structure is generally very well studied, the measured binding energies can only be reproduced with a standard deviation in the order of half an MeV. Such deviations are crucial for fission, e.g. a shift of 500 keV in the ground-state binding energy modifies the spontaneous-fission half life by about 2 orders of magnitude [36].

Recently, important progress has been made in developing a full microscopic approach to the nuclear-fission process with inclusion of dynamic effects [37]. Using a time-dependent

formalism based on the Gaussian overlap approximation of the time-dependent generator coordinate theory and the self-consistent Hartree-Fock-Bogoliubov procedure, the authors of Ref. [37] could predict fission-fragment kinetic energies and mass distribution in a low-energy fission of <sup>238</sup>U in a very satisfactory way. Due to the complexity of the problem, the authors have limited their approach by applying the adiabatic hypothesis, which confines their model to very low excitation energies. Another type of theoretical models which are often used is based on the macroscopic-microscopic approach, e.g. [38,39,40,41,42,43,44,45]. Macroscopic-microscopic models for calculating fission-fragment properties can be divided in two groups: The first group represent fully static models, in which no dynamic effect is considered [38,39,41,43]. Here, the properties of fission fragments are calculated by applying the statistical model either at the saddle [43] or at the scission point [38,39]. In the second group, dynamic effects are included by using Langevin approach for solving the equations of motion of the fissioning system [44,45]. In this case, the challenge is to describe the influence of microscopic effects on the transport coefficients. To our knowledge, this still has not been done.

For improving our understanding of the fission process theoretical models are mandatory. Nevertheless, due to the above-mentioned uncertainties and limitations their ability for quantitative predictions seems to be still rather restricted. Moreover, in many cases they are very time consuming which prevents their use for applications. In order to surmount these problems, we have developed a model, which combines these two approaches. A preliminary version of the model was published in Ref. [46].

In this semi-empirical approach, the transition from single-humped to double-humped fragment distributions is explained by macroscopic (fissionning nucleus) and microscopic (nascent fragments) properties of the potential-energy landscape near the outer saddle point. Macroscopic features of the potential-energy landscape are deduced from mass distributions at high excitation energy [47] and Langevin calculations [48], while microscopic features are based on two-centre shell-model calculations [49,50] and theoretical assumptions on washing out of shell effects [51]. The parameters describing the microscopic features in the potential are deduced from data on measured features of fission channels: nuclide yields, neutron yields, TKE.

We use certain assumptions on the dynamics of fragment formation, i.e. that the mass division is already determined at the outer saddle point, while the N/Z degree of freedom is very fast compared to the motion from saddle-to-scission and is, therefore, determined at the scission point.

As an example for the application of this approach, we show in Figure 5 a comparison between experimental and model-calculated charge distributions of fragments formed in the electromagnetic-induced fission of several secondary beams ranging from <sup>220</sup>Ac to <sup>234</sup>U [33]. The transition from single- to triple- and then to double-humped fragments distributions is correctly described by the model. Please note, that all calculations were performed with one and same set of model parameters; no adjustment to individual systems has been done. This global aspect of the approach gives us confidence when extrapolating into regions where no experimental data are available. In case of r-process simulations, the model was applied in refs. [9,10].

#### 4. Conclusions

In this paper we have discussed the status of present experimental and theoretical knowledge on some aspects of fission which are important input for the r-process calculations. We have specifically concentrated on the height of the fission barrier and fragment formation in fission.

Using available experimental data on fission barriers and ground-state masses, we have presented a detailed study of the predictions of different models concerning the isospin dependence of saddle-point masses. Evidence is found that several macroscopic models yield unrealistic saddle-point masses for very neutron-rich nuclei, which are relevant for the r-process nucleosynthesis.

We have also discussed different approaches used to calculate fission-fragment distributions. Empirical systematics are not suited for astrophysical applications. Theoretical approaches still fail to include all important features of the fission process, but they can give good orientation of major trends. A macroscopic-microscopic approach based on macroscopic properties of the fissioning system and microscopic properties of the nascent fission fragments with simplified considerations of dynamical features seems to be a promising tool for robust extrapolations of empirical features.



**Figure 5.** (Colour online) Comparison between measured (black dots) and calculated (red line) fission-fragment nuclear-charge distributions in the range Z = 24 to Z = 65 from <sup>220</sup>Ac to <sup>234</sup>U in electromagnetic-induced fission shown on a chart of the nuclides. Experimental data are taken from Ref. [33].

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